## JC03 Rec'd PCT/PTO 07 OCT 2009

PTO-1390 (Rev. 07-2005)
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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

Serie 6110

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Not yet assigned

Not yet assigned PRIORITY DATE OF INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/FR04/050125 March 24, 2004 TITLE OF INVENTION Method and installation for the crust-freezing/cooling/deep-freezing treatment of products APPLICANT(S) FOR DO/EO/US L'Air Liquide, Société Anonyme à Directoire et Conseil de Surveillance pour l'Etude et l'Exploitation des Procédés Georges Claude Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. **X** A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. **X** An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. **X** An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). items 11 to 20 below concern document(s) or information included: 11. 🔀 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. 🗙 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 16 minutes to complete, including gathering information, preparing, and submitting the completed form the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICATION NO CONTROL OF THE CERT 15) INTERNATIONAL APPLICATION NO.  Not yet assigned 15 5242 LPCT/FR04/050125					Serie 6110		
20. Other items or information:							
International Search Report							
	owing fees have b				CALCULATIONS	PTO USE ONLY	
21. X Basic	c national fee (37	CFR 1.492(a))		\$300	\$ 300		
22. X Exam	ination fee (37 CF						
If the written opini	ion prepared by IS US indicates all cla	\$					
	18	200					
23. X Sear	ch fee (37 CFR 1.						
IPEA/US	ion of the ISA/US indicates all claim	\$					
Internatio	FR 1.445(a)(2)) ha nal Searching Aut	•					
previously	rch Report prepare communicated to						
All other situation	S			\$500	500		
TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding					1,000		
□ sequence		nce with 37 CFF	R 1.821(c) or (e) or computer pr				
The fee is	\$250 for each ad						
Total Sheets	Total Sheets Extra Sheets		Number of each additional 50 or fraction RATE thereof (round up to a whole number)				
21 - 100 =	0 /50 =		0	x \$250	\$ 0		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$		
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$		
Total claims	20	- 20 =	0	x \$ 50	\$ 0		
Independent clain		- 3 =	0	× \$200	\$ 0		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360  TOTAL OF ABOVE CALCULATIONS =					\$		
Applicant clai	ims small entity st	\$					
		\$					
•	\$130.00 for furnisate (37 CFR 1.492	\$					
	····	\$					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					<b>\$</b> 40		
TOTAL FEES ENCLOSED =					\$ 1,040		
					Amount to be refunded:	\$	
					Amount to be charged	\$ 1,040	

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	10/552421						
а. 🔲		ove fees is enclosed.					
b. 🔀	Please charge my Deposit Account No. 01-1375 in the amount of \$\$1,040.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.						
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-1375. A duplicate copy of this sheet is enclosed.						
d. 🔲	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.							
Li IF A 27	all correspondence to: inda K. Russell P Dept. ir Liquide 700 Post Oak Blvd., Suite 1800 ouston, TX 77056	SIGNATURE Linda K. Russell NAME 34,918 REGISTRATION NUMBER					

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE JC05 Rec'd PCT/PTO 07 OCT 2005

Not yet assigned

Applicants:

Application No.:

Beny BRUGGEMAN et al.

Filed Internationally:

March 24, 2004

US National:

Herewith

Title:

METHOD AND INSTALLATION FOR THE CRUST-FREEZING/

COOLING/DEEP-FREEZING TREATMENT OF PRODUCTS

TC/A.U:

Unknown

Examiner:

Unknown

Docket No.:

**Serie 6110** 

Customer No.:

000040582

#### CERTIFICATE OF MAILING BY EXPRESS MAIL

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that the following documents, which are attached, are being deposited, under 37 CFR 1.10, with the United States Postal Service "Express Mail Post Office to Addressee" service as Express Mail No. EV 505239048 US in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on October 7, 2005.

- A copy of International Publication WO 04/092668; 1.
- 2. English translation of International Application PCT/FR04/050125;
- Declaration and Power of Attorney; 3.
- 4. Assignment and cover sheet;
- 5. Preliminary Amendment;
- IDS and Form 1449, including 6 search report references; 6.
- Transmittal Under 35 USC 371 (PTO Form 1390) Fee Sheet (in duplicate); 7. and
- 8. Postcard.

Respectfully submitted,

Date: October 7, 2005

Air Liquide 2700 Post Oak Boulevard, Suite 1800 Houston, Texas 77056 (713) 624-8973